

**DECLARATION AND POWER OF ATTORNEY FOR PATENT APPLICATION**

As below named inventors, we hereby declare that:

Our residence, post office address and citizenship are as stated below under our names.

We believe that we are the original, first and joint inventors of the subject matter which is claimed and for which a patent is sought on the invention entitled

**RECEPTOR RECOGNITION FACTORS, PROTEIN SEQUENCES  
AND METHODS OF USE THEREOF**

the Specification of which was filed on March 11, 1994 and was accorded U.S. Serial No. 08/212,185.

We hereby state that we have reviewed and understand the contents of the above-identified Specification, including the claims, as amended by any amendment referred to above.

We acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, 1.56(a).

We hereby claim foreign priority benefits under Title 35, United States Code, §119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed.

<u>APPLICATION</u>	<u>PRIOR FILED APPLICATION(S)</u>	<u>PRIORITY</u>
<u>NUMBER</u>	<u>COUNTRY (DAY/MONTH/YEAR FILED)</u>	<u>CLAIMED</u>
NONE		

We hereby claim the benefit under Title 35, United States Code, §120 of any United States application listed below, and, insofar as the subject matter of each of the claims of this application is not disclosed in any prior United States application in the manner provided by the first paragraph of Title 35, United States Code, §112, we acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, §1.56(a), which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

<u>APPLICATION</u>	<u>FILING DATE</u>	<u>STATUS - PATENTED, PENDING,</u>
<u>NO.</u>	<u>(DAY/MONTH/YEAR)</u>	<u>ABANDONED</u>
08/126,588	24/9/93	PENDING
07/854,296	19/03/92	PENDING
07/980,498	23/11/92	PENDING
PCT US93/02569	19/3/93	PENDING

I hereby appoint as my attorneys or agents the following persons: Stefan J. Klauber (Attorney, Registration No. 22,604); David A. Jackson (Attorney, Registration No. 26,742); Barbara L. Renda (Attorney, Registration No. 27,626); Paul F. Fehlner (Agent, Registration No. 35,135); and Thomas E. Anderson (Agent, Registration No. 37,063), said attorneys or agents with full power of substitution and revocation to prosecute this application and transact all business in the Patent and Trademark Office connected therewith.

Please address all correspondence regarding this application to:

DAVID A. JACKSON, ESQ.  
KLAUBER & JACKSON  
411 HACKENSACK AVENUE  
HACKENSACK, NEW JERSEY 07601

Direct all telephone calls to David A. Jackson at (201) 487-5800.

We hereby declare that all statements made herein of our own knowledge are true and that all statements made on information and belief are believed to be true; and further, that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

FULL NAME OF INVENTOR: JAMES E. DARNELL, JR.  
RESIDENCE: 22 Chestnut Avenue  
Larchmont, New York 10538  
COUNTRY OF CITIZENSHIP: USA  
POST OFFICE ADDRESS: Same

SIGNATURE OF INVENTOR

DATE

5/18/94

FULL NAME OF INVENTOR: CHRISTIAN W. SCHINDLER  
RESIDENCE: 18 Terrell Avenue, Rockville Center  
New York, New York 11570  
COUNTRY OF CITIZENSHIP: USA  
POST OFFICE ADDRESS: Same

SIGNATURE OF INVENTOR

DATE

5/26/94

FULL NAME OF INVENTOR: XIN-YUAN FU  
RESIDENCE: 1249 Park Avenue, Apt. 2A 68-37 Yellowstone Blvd., Apt. C6  
New York, New York 10029 Forrest Hills, N.Y. 11375  
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SIGNATURE OF INVENTOR Xy-fu  
DATE 5/23/94

FULL NAME OF INVENTOR: ZILONG WEN  
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COUNTRY OF CITIZENSHIP: CHINA  
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SIGNATURE OF INVENTOR Zilong Wen  
DATE 5/23/94

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COUNTRY OF CITIZENSHIP: CHINA  
POST OFFICE ADDRESS: Same  
SIGNATURE OF INVENTOR Zhong Zhong  
DATE 5/23/94



**UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office**

ASSISTANT SECRETARY AND COMMISSIONER  
OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

DATE: 10/24/94  
TO:

N06A

KLAUBER & JACKSON  
DAVID A. JACKSON, ESQ.  
4TH FLOOR  
411 HACKENSACK AVENUE  
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**UNITED STATES PATENT AND TRADEMARK OFFICE  
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT**

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT BRANCH OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE U.S. PATENT AND TRADEMARK OFFICE ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT ASSIGNMENT PROCESSING SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT BRANCH, NORTH TOWER BUILDING, SUITE 10C35, WASHINGTON, D.C. 20231

ASSIGNOR: DARNELL, JAMES E. DOC DATE: 05/20/94

ASSIGNOR: SCHINDLER, CHRISTIAN W. DOC DATE: 05/26/94

ASSIGNOR: FU, XIAN-YUAN DOC DATE: 05/23/94

ASSIGNOR: WEN, ZILONG DOC DATE: 05/23/94

ASSIGNOR: ZHONG, ZHONG DOC DATE: 05/23/94

RECORDATION DATE: 09/23/94 NUMBER OF PAGES 004 REEL/FRAME 7150/0294

DIGEST : ASSIGNMENT OF ASSIGNORS INTEREST

ASSIGNEE:  
ROCKEFELLER UNIVERSITY, THE  
1230 YORK AVENUE  
NEW YORK, NY 10021-6399

7150/0294 PAGE 0002

SERIAL NUMBER 8-212185  
PATENT NUMBER

FILING DATE 03/11/94  
ISSUE DATE 00/00/00

*S C* *P*  
EXAMINER/PARALEGAL  
ASSIGNMENT BRANCH

ASSIGNMENT/CERTIFICATION SERVICES DIVISION

CORDATION FORM COVER SHEET  
PATENTS ONLY

U.S. DEPARTMENT OF COMMERCE  
Patent and Trademark Office

Tab settings = = = =

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

JAMES E. DARNELL, JR.; CHRISTIAN W. SCHINDLER;  
XIAN-YUAN FU; ZILONG WEN; ZHONG ZHONG

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Other \_\_\_\_\_

Execution Date: May 20, 1994, May 23, 1994 and  
May 26, 1994

2. Name and address of receiving party(ies)

Name: THE ROCKEFELLER UNIVERSITY

Internal Address: \_\_\_\_\_

Street Address: 1230 York Avenue

City: New York State: NY ZIP: 10021-6399

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s): 08/212,185

If this document is being filed together with a new application, the execution date of the application is: \_\_\_\_\_

A. Patent Application No.(s)

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: DAVID A. JACKSON, ESQ.

KLAUBER & JACKSON

Internal Address: \_\_\_\_\_

4th Floor

Street Address: 411 Hackensack Avenue

City: Hackensack State: NJ ZIP: 07601

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41).....\$ 40.00

☒ Enclosed

☐ Authorized to be charged to deposit account

8. Deposit account number:

11-1153 FOR OVERAGES OR UNDERPAYMENTS

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(Attach duplicate copy of this page if paying by deposit account)

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

PAUL F. FEHLNER

Name of Person Signing

Signature

September 21, 1994

Date

Total number of pages including cover sheet, attachments, and document: 4 10

ASSIGNMENT

WHEREAS, WE, JAMES E. DARNELL, JR., a U.S. citizen, residing at  
22 Chestnut Avenue  
Larchmont, New York 10538;

CHRISTIAN W. SCHINDLER, a U.S. citizen, residing at  
18 Terrell Avenue, Rockville Center  
New York, New York 11570;

XIAN-YUAN FU, a citizen of China, residing at  
1249 Park Avenue, Apt. 2A  
New York, New York 10029;

ZILONG WEN, a citizen of China, residing at  
1230 York Avenue  
New York, New York 10021-6399; and

ZHONG ZHONG, a citizen of China, residing at  
1230 York Avenue  
New York, New York 10021-6399,

ASSIGNORS, have invented certain new and useful improvements in

**RECEPTOR RECOGNITION FACTORS, PROTEIN SEQUENCES  
AND METHODS OF USE THEREOF**

for which we have filed an Application for Letters Patent in the United States on March 11, 1994 and was accorded U.S. Serial No. 08/212,185; and

WHEREAS,

THE ROCKEFELLER UNIVERSITY,  
a corporation of the State of New York, with offices located at  
1230 York Avenue, New York, New York 10021-6399,

ASSIGNEE, is desirous of obtaining the entire right, title and interest in, to and under the said improvements and the said application;

NOW, THEREFORE, for other good and valuable consideration, the receipt of which is hereby acknowledged, we the said

ASSIGNORS

REEL 7150 FRAME 295

have sold, assigned, transferred and set over, and by these presents do hereby sell, assign, transfer and set over, to the said

ASSIGNEE,

its successors, legal representatives and assigns, the entire right, title and interest in, to and under the said improvements, and the said application and all divisions, renewals, continuations, continuations-in-part, extensions, renewals and reissues thereof, and all applications for Letters Patent of the United States which may hereafter be filed for said improvements in any country or countries foreign to the United States, including the right to claim priority under the terms of any appropriate International Convention based upon said application for Letters Patent of the United States, and all Letters Patent which may be granted for said improvements in any country or countries foreign to the United States and extensions, renewals and reissues thereof; and we hereby authorize and request the Commissioner of Patents and Trademarks of the United States and any official of any country or countries foreign to the United States, whose duty it is to issue patents on applications as aforesaid, to issue all Letters Patent for said improvements to the said

ASSIGNEE

its successors, legal representatives and assigns, in accordance with the terms of this instrument.

AND WE HEREBY covenant that we have full right to convey the interest herein assigned in the manner hereinabove set forth, and that we have not executed, and will not execute, any agreement in conflict herewith.

AND WE HEREBY further covenant and agree that we will communicate to the said

ASSIGNEE

its successors, legal representatives and assigns, any fact known to us respecting said improvements, and testify in any legal proceeding, sign all lawful papers, execute all divisions, continuing and reissue applications, make all rightful oaths and generally do everything possible to aid the said

ASSIGNEE,

its successors, legal representatives and assigns, to obtain and enforce proper Patent Protection for said improvements in the United States.

REEL 7150 FRAME 296



IN TESTIMONY WHEREOF, we hereunto set our hand and seal the day and year set opposite our signatures.

Date 5/20, 1994

James E. Darnell, Jr. L.S.  
JAMES E. DARNELL, JR.

Date 5/26/94, 19

Christian W. Schindler L.S.  
CHRISTIAN W. SCHINDLER

Date 5/27/94, 19

Xin-Yuan Fu L.S.  
XIN-YUAN FU

Date 5/23, 1994

Zilong Wen L.S.  
ZILONG WEN

Date 5/23, 1994

Zhong Zhong L.S.  
ZHONG ZHONG

REEL 150 FRAME 297

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